

TRIBAL BROADBAND
CONNECTIVITY PROGRAM (TBCP)
SECOND NOTICE OF FUNDING
OPPORTUNITY

BROADBAND INFRASTRUCTURE
DEPLOYMENT PROJECT
APPLICATION GUIDANCE

This guidance document is for informational purposes only and is intended solely to assist applicants in better understanding the NTIA Tribal Broadband Connectivity Program, and the requirements set forth in the program's Notice of Funding Opportunity (Second TBCP NOFO). This guidance does not and is not intended to supersede, modify, or otherwise alter applicable statutory or regulatory requirements, or the specific application requirements set forth in the Second TBCP NOFO. In all cases, statutory and regulatory mandates, and the requirements set forth in the Second TBCP NOFO, shall prevail over any inconsistencies contained in the guidance below. The Second TBCP NOFO is available at: [ntia-tbcp-round2-nofo.pdf](#).

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Project Narrative Guidance

The Project Narrative is a word-processed document of not more than 20 single- or 40 double-spaced pages (including the Executive Summary) responsive to the program description, statutory purposes, funding priorities, and the evaluation criteria set forth in the NOFO. The project narrative must identify specific tasks, measurable milestones and performance outcomes resulting for Native American/Alaska Native/Native Hawaiian communities from the proposed project activities. A complete project narrative must include all of the components outlined below.

Executive Summary (p. 23 of the NOFO)

An executive summary of the project not to exceed two (2) pages. Please note, if an applicant's proposal is selected for funding, NTIA may use all or a portion of the Executive Summary as part of a press release issued by NTIA, or for other public information and outreach purposes. Applicants are advised not to incorporate information pertaining to business trade secrets or other confidential cultural, commercial, or financial information as part of the Executive Summary. *See also* 15 C.F.R. § 4.9(c) concerning the designation of business information by the applicant.

Eligible Entity Description (p. 23-24 of the NOFO)

Information to sufficiently demonstrate that they are in the category of eligible entities as defined in section 905(a)(8) of the Consolidated Appropriations Act, 2021, as amended (Act).¹ Section 905(a)(8) of the Act specifies the following types of entities as eligible to receive TBCP grants:

- (i) a Tribal Government;
- (ii) a Tribal College or University;
- (iii) the Department of Hawaiian Home Lands on behalf of the Native Hawaiian Community, including Native Hawaiian Education Programs;
- (iv) a Tribal organization; or
- (v) an Alaska Native Corporation.

A description of the eligible entity and the qualifications and experience of key personnel responsible for implementing the proposed project.

Table of Funded Participants and Unfunded Collaborators (p. 24 of the NOFO)

(Does not count toward page limit.) Provide a table that identifies all organizations that will participate in and contribute to the proposed activities, note whether they will be funded or unfunded and whether known or unknown at the time of the application submission. The table should consist of an alphabetically ordered list, by organization, of all Funded Project Participants and all Unfunded Informal Collaborators, and indicate which is which. The table should include the organization's name, address, administrative role, scope of work (funded participants only) and proposed funding amount (funded participants only). Administrative roles are applicant, subrecipient, or contractor for funded participants; or collaborator if they will not

¹ Consolidated Appropriations Act, 2021, Division N, Title IX, Sections 905(a)(8), Pub. L. 116-260, 134 Stat. 1182 (Dec. 27, 2020), as amended by the Infrastructure Investment and Jobs Act, Division F, Title II, Section 60201, Pub. L. 117-58, 135 Stat. 429 (Nov. 15, 2021).

receive funding. Additionally, if the contractor has not been determined, under “Organization”, recommend inserting “TBD”.

Organization	Administrative Role	Scope of Work (funded participants only)	Funding Amount (funded participants only)

Resumes of Key Personnel (p. 24 of the NOFO)

(Does not count toward page limit but are limited to one-page each). One-page resumes of no more than five key personnel from applicant (not subrecipients) may be included. Any information beyond one page for each resume and any additional resumes submitted will not be considered. Key personnel qualifications and experience may be demonstrated by reference to successful implementation of previously-received Federal broadband grants (under programs administered by NTIA, FCC, USDA, or otherwise).

Description of the Activities to be Funded by the Grant (p. 24 of the NOFO)

Describe the activities to be funded, the eligible uses of the funding, and the proposed objectives and outcomes for Native American/Alaska Native/Native Hawaiian communities from the project. Federally Recognized Tribes should include a description of the broadband infrastructure activities that they would perform if they were only to receive the equitable distribution allocation of up to \$500,000. (If this is a dual application, ensure that the equitable distribution allocation matches in both applications). Note that during this second round, NTIA will not fund projects proposing to conduct planning activities or prepare feasibility or sustainability studies unless they are part of a proposal to deploy broadband infrastructure or construct broadband networks.

All proposed projects submitted should be comprehensive and completely autonomous, therefore not reliant on future funding or a future adjacent project.

Project Purpose and Benefits

Level of Need (p. 24-25 of the NOFO)

Define the proposed service area **and the total number of unserved Native American/Alaska Native/Native Hawaiian households, businesses and community anchor institutions located therein to be served by completion of the proposed project.** In accordance with Section 905(c)(8) of the Act, applicants proposing to use grant funds for the construction of new broadband infrastructure must prioritize projects that deploy broadband infrastructure to Unserved Native American/Alaska Native/Native Hawaiian households. Describe the total number of Native American/Alaska Native/Native Hawaiian households, businesses, and community anchor institutions lacking access to the Internet and to qualifying broadband service (*i.e.*, those not currently receiving Internet service with speeds of 25/3 Mbps or greater with latency considerations). This description should include a list of locations lacking such access,

preferably based on the FCC’s Fabric from its National Broadband Map. Applicants may include a polygon that captures the locations to be served. The applicant should also identify the total number of Native American/Alaska Native/Native Hawaiian households, businesses and community anchor institutions that it plans to connect to Qualifying Broadband Service and the number that will be connected at speeds higher than Qualifying Broadband Service, specifying the speed level. Sample provided below:

TOTAL Number of Tribal Households on Tribal Land?	300
Number of UNSERVED Tribal households on Tribal Land?	150
Number of UNSERVED Tribal households that this project will connect to Qualifying Broadband Service (defined as 25 Mbps download/3 Mbps upload with sufficient latency for real time interactive applications)?	75
Number receiving speeds higher than Qualifying Broadband Service, specifying the speed level?	75 at 100 Mbps/20 Mbps
Number of UNSERVED Tribal Businesses that this project will connect to Qualifying Broadband Service?	10
Number receiving speeds higher than Qualifying Broadband Service, specifying the speed level?	5 at 100 Mbps/20 Mbps
Number of UNSERVED Tribal Community Anchor Institutions that this project will connect to Qualifying Broadband Service?	5
Number receiving speeds higher than Qualifying Broadband Service, specifying the speed level?	5 at 100 Mbps/20 Mbps

Describe plans to deploy Qualifying Broadband Service to Native American/Alaska Native/Native Hawaiian households, businesses, and community anchor institutions in the proposed project area that meets quality of service performance measures such that network outages do not exceed, on average, 48 hours over any 365-day period.

In addition, the Applicant must:

- identify the distance to the nearest fiber node; and
- identify any libraries within the proposed build receiving E-Rate funding.

Poverty Level (p. 25 of the NOFO)

A description of the number of total Native American/Alaska Native/Native Hawaiian households in the proposed service area at or below 150% of the poverty line (provide percentage as it compares to all households on the Tribal land proposed service area) applicable to the average family size represented on the particular Tribal land covered by the project in the lower 48 states, Alaska and Hawaii (utilizing HHS Poverty Guidelines). Provide an explanation

detailing how the percentage was calculated, data source, and factors included. Sample table included:

Data Source Description	Calculation Method	Total Native American/Alaska Native/Native Hawaiian households in the proposed service area at or below 150% of the poverty line	Additional Factors for Consideration
Tribal census, HHS poverty guidelines, etc.	25 Tribal Households at or below 150% poverty line / 100 Total Tribal Households	25%	

Job Creation (p. 25 of the NOFO)

Provide an estimate of the total number of jobs, including a subset for Native American/Alaska Native/Native Hawaiian jobs, and subset of those jobs that will comply with the Tribal Employment Rights Ordinance of the Tribe (TERO). Describe a plan for workforce training and development, including in broadband deployment-related occupations, as well as plans to address any anticipated labor shortages in these fields.

Broadband Speed Tiers and Affordable Pricing (p. 25-26 of the NOFO)

Provide a description of the pricing for the broadband services that the applicant intends to offer compared to existing broadband services in the proposed service area along with a demonstration that the pricing is competitive and affordable in the target market. Describe plans to participate in federal subsidy programs, such as the FCC’s Affordable Connectivity Program, to reduce costs of broadband service for Native American/Alaska Native/Native Hawaiian households in the proposed service area.²

In order to meet this requirement, NTIA recommends including the variations in offerings that will be provided, including speed tiers, pricing, and local pricing in the area to show affordability. Provide the data source(s) used to calculate the average price in the area including company name(s).

Sample table below:

Type	Proposed Speed Offering	Proposed Pricing	Average in the area (include Company)
-------------	--------------------------------	-------------------------	--

² The Affordable Connectivity Program was established in IJJA as the successor to a previous program that has since been discontinued. The FCC in 2022 the *Affordable Connectivity Program Report and Order*, which sets out details regarding the ACP’s operation. See *Affordable Connectivity Program, Report and Order and Further Notice of Proposed Rulemaking*, FCC 22-2 (rel. Jan. 21, 2022) available at <https://docs.fcc.gov/public/attachments/FCC-22-2A1.pdf>. The Affordable Connectivity Program provides a \$75 monthly subsidy for qualifying households living on Tribal Lands.

Residential	25/3	39.99	59.99 (Comcast)
	50/10	49.99	69.99
	100/20	59.99	79.99
Commercial	100/100	99.99	129.99
	200/200	199.99	249.99
	500/500	299.99	369.99

Labor Standards (p. 26 of the NOFO)

Provide a description of whether and, if so, how the project will incorporate strong labor standards, consistent with Tribal law, including project labor agreements and community benefit agreements that offer wages at or above the prevailing rate and include local hire provisions, and a description of the applicant’s workforce plans and practices.

Climate Resiliency (p. 26 of the NOFO)

Provide an assessment of weather and climate hazards within the Tribal Land served by the project and a description of how the applicant intends to avoid and/or mitigate the weather and climate risks identified.³

Project Plan (p. 26 of the NOFO)

Provide the overall project objectives and outcomes. The project plan should describe all major project activities and timelines for implementation, including key deployment milestones. Describe the overall project plan, including cost effectiveness (*e.g.*, costs for single network and economies of scale for consortium); service capacity; timeframes for construction; and scalability.

Applicant should include a timeline, including but not limited to, major milestones, dates, and evaluation criteria for each milestone. See example provided below.

³ In making this assessment, Eligible Entities should make use of available tools and resources from the National Oceanic and Atmospheric Administration (NOAA) and other federal agencies. Such resources include the 2018 National Climate Assessment (<https://nca2018.globalchange.gov>); NOAA’s state climate summaries (<https://statesummaries.ncics.org/>); NOAA’s disaster and risk mapping tool (<https://www.ncdc.noaa.gov/billions/mapping>) and NOAA’s storms event database (<https://www.ncdc.noaa.gov/stormevents/>). FEMA’s National Risk Index (<https://hazards.fema.gov/nri/learn-more>) provides composite risk index for all regions across the United States, incorporating a range of natural hazards, many of which are weather- and climate-related. FEMA’s flood risk maps (<https://msc.fema.gov/portal/home>) provides information on current conditions for specific locations.

Major Milestones (Y/N)	Project Objectives & Activities	Evaluation Criteria	Year 1				Year 2				Year 3				Year 4			
			Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3	Q 4
Y	Environmental Review	Call w/THPO & Environmental Consultant; begin NEPA process		X														
N	Identify Architect/Engineer	Follow entity Procurement Policy for RFP		X														
N	Begin search for Construction Company	Follow entity Procurement Policy			x													

Efforts to minimize lack of service (p. 26 of the NOFO)

Provide details and evidence of any proactivity, initiative, and or financial investment the applicant may have made in an effort to move toward a resolution to minimize or eliminate any gaps or lack of service.

Sustainability (p. 26 of the NOFO)

Describe a comprehensive and defined plan for long-term network sustainability that includes projected revenue (pro-forma), operating expenses, working capital, and equipment replacement funding, as well as work force development and capacity building. “Long-term” means demonstrating the ability of the project to be sustained beyond the award period.

Description of the Physical Project Area, and its Surroundings (p. 26-27 of the NOFO)

For projects including construction and/or ground disturbing activities, a brief description of the physical project area and its surroundings (*e.g.*, disturbed or developed land vs. open space; adjacent natural resources, such as rivers, wetlands, or forestlands; and any protected lands or resources in or near the project area), including site photographs and aerial (*e.g.*, Google Maps images) photographs. This description should also describe how the applicant intends to comply with the environmental and historic preservation and cultural requirements applicable to an award received under the TBCP (including, but not limited to, the National Environmental Policy Act and the National Historic Preservation Act) and, if applicable, a copy of any environmental and historic preservation review documentation that has been prepared in connection with obtaining permits or approvals from State, Tribal, local or other federal entities. It is the applicant’s responsibility to obtain all necessary federal, State, Tribal, and local governmental permits and approvals necessary for the proposed work to be conducted. Applicants are expected to design their projects so that they minimize the potential for adverse impacts on the environment. Environmental and historic preservation review documentation, if provided, is not included in the 20-page limit of the project narrative.

Additional Application Guidance

TBCP2 Project Information Form (p. 19 of the NOFO)

All applicants are required to complete all applicable fields in the TBCP2 Project Information Form and submit it with their application package.

Maps of Proposed Service Areas (p. 27 of the NOFO)

To ensure conformity with NTIA's National Broadband Availability Map (NBAM), applicants must submit GIS shapefiles consistent with the project narrative, where applicable. This format will allow application reviewers to efficiently identify critical components of the proposed service area, proposed network, and households, business and community anchor institutions to be served. This format will also facilitate the de-duplication analysis described in Section E.3.c.i of the NOFO. The GIS shapefile must include the following data elements as applicable:

- a) Proposed and existing fiber
- b) Proposed and existing infrastructure (*e.g.*, towers, power poles, buildings, or structures)
- c) Distance/location of overhead construction
- d) Distance/location of underground construction
- e) Drop points (identify if overhead or underground)
- f) Fiber counts
- g) Innerduct size and count
- h) Handholes and testing stations
- i) Power supply and backup power
- j) Backhaul connection
- k) Tribal boundary
- l) Tribal home locations (proposed connections)
- m) Tribal business locations (proposed connections)
- n) Community Anchor Institution locations (proposed connections)
- o) Proposed service area
- p) Last mile connections (proposed and existing)
- q) Points of Presence
- r) Proposed and/or existing network shelter
- s) Census block
- t) Network propagation maps

Tribal Resolution of Consent (p. 27 of the NOFO)

Applicants must provide Tribal Resolutions of Consent that reference the NOFO and are dated after its release. The resolution must come from the entity specified in the Federally Recognized Indian Tribe List Act of 1994.

Consortium Applications: Each Eligible Entity applying for Broadband Infrastructure Deployment funds is required to submit a Resolution of Consent from each Tribal Government and/or from the Tribal Council of the appropriate governing body upon whose Tribal Lands the application will serve. Consortium applications submitted without resolutions from each Tribe

named in the application will be considered incomplete. For additional information on the Resolution requirement for consortia, see Section C.3.c of the NOFO.

Tribal Government Certification of Unserved Areas (p. 27-28 of the NOFO)

A Tribal Government may certify that locations within its own Tribal Lands are unserved. Any other Eligible Entity may also submit such a certification of Unserved locations provided to them by a Tribal Government. Any such Tribal Government certification that a location is Unserved must certify that the areas where last mile service is proposed are not served by Qualifying Broadband Service and provide a resolution on Tribal Letterhead, along with source data supporting the certification, explaining how that determination of unserved was reached. The Department of Hawaiian Home Lands may also certify whether Tribal Lands as described in Subsection D of the NOFO are Unserved by Qualifying Broadband Service, along with source data supporting the certification, explaining how the determination of unserved was reached. A Tribal Government may not certify Tribal Lands covered under an Enforceable Buildout Commitment, as defined in Section A.3.k of the NOFO, as Unserved. If the Tribe is aware of the presence of facilities capable of delivering Qualifying Broadband Service, *e.g.*, fiber facilities or other broadband technology, deployed on their Tribal Lands, they must disclose this information to NTIA. As part of the process described in Section E.3.c.ii, NTIA will conduct an analysis of these certifications and disclosures.

As part of its Tribal Government Certification of Unserved Areas, the Tribe must consult the FCC's National Broadband Map. If that map indicates that Qualifying Broadband Service is available at any location within the proposed service area(s), but the Tribe disagrees, then the Tribe shall submit a formal challenge to the FCC using the challenge process for its National Broadband Map or indicate that it is participating in the state's submission for the FCC's challenge process, and provide documentation to NTIA of the challenge(s) submitted.

Required Disclosures for the Tribal Government Certification of Unserved Areas:

- Disclosure of all Enforceable Buildout Commitments.
- Disclosure of a terminated Enforceable Buildout Commitment. A Tribal Government must disclose whether it has terminated an agreement qualifying as an Enforceable Buildout Commitment in anticipation of receiving funding under the TBCP. Failure to disclose may result in NTIA removing the application from consideration for TBCP funding.
- Disclosure of the presence of facilities capable of delivering Qualifying Broadband Service, including fiber facilities or other broadband technology, deployed on Tribal Lands if the Tribe has knowledge of their locations.
- Disclosure of the Tribe's participation in the FCC's challenge process for its National Broadband Map or its participation in the state's submission for the FCC's challenge process for the FCC National Broadband Map. Provide documentation of the challenge(s) submitted.

Community Economic Development Strategy (optional) (p. 28 of the NOFO)

An eligible entity may submit a copy of its own Community Economic Development Strategy, or a regional or State Community Economic Development Strategy, if applicable to its project proposal. **If this is not applicable (N/A) or you do not have one, please leave this header in and identify that you do not have one or it is N/A.**

Request for Extension of Award Period (optional) (p. 28 of the NOFO)

Any request for an extension of the award period must be made on Tribal letterhead and signed by the Authorized Organization Representative. **If this is not applicable (N/A) or you do not have one, please leave this header in and identify that you do not have one or it is N/A.**

Budget Narrative and Detailed Budget Justification (p. 29 of the NOFO)

Please see the **SAMPLE_Budget Narrative** and **SAMPLE_Budget Justification** for guidance on satisfying these application requirements. For more information, see Section D.2.c.viii of the NOFO.

Indirect Cost Rate (p. 29 of the NOFO)

Section 905(c)(6)(A) of the Act, as amended, prohibits an Eligible Entity from using more than 2 percent of grants funds received under the TBCP for administrative expenses. For this purpose, the 2 percent limitation on administrative expenses includes the combined total of indirect costs and direct administrative costs charged to an award.

If indirect costs are included in the proposed budget, the applicant must ensure that the budgeted amounts for indirect costs, plus the budgeted amounts for direct administrative costs, do not exceed two percent of the requested grant amount, regardless of any previously approved indirect cost rate it may have negotiated with a cognizant federal agency. If indirect costs are included in the proposed budget, the applicant must provide a copy of the approved negotiated agreement if this rate was negotiated with a cognizant federal audit agency. If the rate was not established by a cognizant federal audit agency, provide a statement to this effect. If the successful applicant includes indirect costs in the budget and has not established an indirect cost rate with a cognizant federal audit agency, the applicant will be required to obtain such a rate in accordance with Section B.06 of the Department of Commerce Financial Assistance Standard Terms and Conditions, dated November 12, 2020.

Alternatively, consistent with 2 C.F.R. § 200.414(f), applicants that do not have a current negotiated indirect cost rate may elect to charge indirect costs to an award pursuant to a de minimis rate of up to 2 percent of modified total direct costs (MTDC), in which case a negotiated indirect cost rate agreement is not required. Applicants proposing an up to 2 percent de minimis rate pursuant to 2 C.F.R. § 200.414(f) should note this election as part of the budget portion of the application.

As described in 2 C.F.R. § 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Applicants are reminded

that the two percent limitation on administrative expenses includes the combined total of indirect costs and direct administrative costs charged to an award. The applicant must clearly describe in the budget narrative how it applied or calculated the 2 percent limitation of administrative and indirect costs.

Pro Forma Financial Projections (p. 30 of the NOFO)

Using the Pro Forma Financial Projection Template form as a guide, provide pro forma financial information and analyses for the proposed project(s), such as balance sheets, income statements, and statement of cash flows.

Physical Network Diagrams and System Designs (p. 30 of the NOFO)

Physical network diagrams and system designs for broadband deployment and construction projects in GIS shapefile format. The GIS shapefile shall include the following data elements when and where applicable: identification of proposed fiber (aerial and/or underground); existing fiber (aerial and/or underground); drop points; fiber counts; handholes; power supply and backup power locations; backhaul connection; Tribal boundaries; Unserved Tribal homes; proposed service area; and last mile connection. Supporting GIS shapefiles should be clearly labeled with the name of the network component they represent, e.g., proposed fiber, handholes, etc. GIS shapefiles must conform to the description of the proposed service area provided in the project narrative. *See* Section D.2.c.ii.d of the NOFO.

Certification Regarding Compliance with Cybersecurity and Supply Chain Management Requirements (p. 19 of the NOFO)

All applicants are required to submit an executed copy of the Certification Regarding Compliance with Cybersecurity and Supply Chain Management Requirements contained in Appendix A of the NOFO, and attached below. In the case of a consortium, each Eligible Entity participating in the consortium must submit its own executed certification.

Note on Certifications Regarding Debarment and Suspension

By signing and submitting an application for funding pursuant to the Second TBCP NOFO, the applicant is making all certifications regarding debarment and suspension listed in Section D.2.g of the Second TBCP NOFO.

Appendix A

Certification Regarding Compliance with Cybersecurity and Supply Chain Management Requirements

[To be completed and submitted by each applicant as part of its application]

On behalf of [*Organization Name*], I, [*print name*], hereby certify that, in submitting this Tribal Broadband Connectivity Program (TBCP) application, my organization is in compliance with the Cybersecurity and Supply Chain Management requirements discussed in Section F.4 of the Tribal Broadband Connectivity Program Notice of Funding Opportunity, Funding Opportunity Number NTIA-ICG-TBCPO-2023-2008098, published on July 27, 2023. I further certify that my organization is in compliance with each of the below requirements and attest that:

My organization and any prospective or actual subgrantees of my organization fully recognize and understand that it is the policy of the United States to strengthen the security and resilience of its critical infrastructure against both physical and cybersecurity threats. Entities receiving federal funds must therefore ensure that cybersecurity is integrated into the design, development, operation, and maintenance of critical infrastructure communication technology and operational technology;

In order to accomplish the cybersecurity objectives of the United States Government, my organization and any prospective or actual subgrantees will complete the following actions within twelve (12) months of the acceptance of any TBCP grant award to my organization:

1. Review the Cybersecurity and Infrastructure Security Agency (CISA) Cross-Sector Cybersecurity Performance Goals (CPGs) (https://www.cisa.gov/sites/default/files/2023-03/CISA_CPG_REPORT_v1.0.1_FINAL.pdf), which establish the baseline cybersecurity practices and controls with known risk-reduction value that are actionable and broadly applicable across all critical infrastructure sectors;
2. Perform an initial assessment of the cybersecurity practices of the TBCP award-funded project (Project) using the CISA CPG Checklist Adapted for Grant Awards. This assessment will include the cyber assets controlled as part of the execution of the Project and the cybersecurity practices of my organization and any parent and/or partner organizations;
3. Develop and submit a Cybersecurity Risk Mitigation Plan (Plan) for my organization and any prospective or actual subgrantees that includes:
 - a. The CISA CPG Checklist Adapted for Grant Awards with a current assessment documented for cyber assets controlled as part of the Project, as well as an assessment of the cybersecurity practices of my organization and any parent and/or partner organizations;

b. A prioritized list of Project CPG gaps that need to be addressed for cyber assets controlled as part of the execution of the Project, as well as any CPG gaps affecting my organization and any parent and/or partner organizations;

c. Documentation of Project cybersecurity risk mitigation efforts to be undertaken as part of the execution of the TBCP award, with a target implementation date identified for each mitigation effort, including mitigation efforts to address identified Project CPG gaps, as well as mitigation efforts to address identified CPG gaps of my organization and any parent and/or partner organization;

My organization and any prospective or actual subgrantees of my organization fully recognize and understand that any failure to submit and obtain the approval of a Plan by the National Telecommunications and Information Administration (NTIA) within twelve (12) months of acceptance of a TBCP award to my organization will result in corrective action, potentially including, but not limited to, the imposition of a performance improvement plan, additional risk monitoring measures, and/or possible limitations on certain uses of TBCP award funds;

With respect to Supply Chain Risk Management (SCRM), my organization has an SCRM plan (SCRM Plan or Plans) in place that is either: operational, if either my organization or any prospective or actual subgrantees is or are already providing any form or type of telecommunications services; or ready to be operationalized, if my organization and/or any prospective or actual subgrantees is or are not yet providing any form or type of telecommunications services;

The SCRM Plan is based upon the key practices discussed in the National Institute of Standards and Technology (NIST) publication NISTIR 8276, *Key Production In Cyber Supply Chain Risk Management: Observations from Industry* and related SCRM guidance from NIST, including NIST 800-161, *Cybersecurity Supply Chain Risk Management Practices for Systems and Organizations*, and specifies the supply chain risk management controls being implemented;

The SCRM Plan will be reevaluated and updated by my organization on a periodic basis and as events warrant;

The SCRM Plan or Plans of any prospective or actual subgrantees will be submitted to my organization prior to the allocation of grant funds. If a prospective or actual subgrantee makes any substantive changes to its SCRM Plan, a new version will be submitted to my organization within 30 calendar days. My organization will provide its SCRM Plan and the SCRM Plan or Plans of any prospective or actual subgrantees to NTIA upon request; and

My organization will ensure that, to the extent that my organization and/or any prospective or actual subgrantee relies or rely in whole or in part on network facilities owned or operated by one or more third parties (e.g., through the purchase of wholesale carriage on such facilities), my organization will obtain the above attestations from these third parties with respect to both cybersecurity and SCRM practices.

I understand and agree that, if I or my organization knowingly or negligently provides false or inaccurate information in this certification, the organization shall:

1. Not be eligible to receive the Tribal Broadband Connectivity Program grant funding associated with this certification and accompanying application for funding; and
2. Return any grant awarded under the Tribal Broadband Connectivity Program during the time that this certification was not valid; and
3. Not be eligible to receive any subsequent grants under the Tribal Broadband Connectivity Program; and
4. potentially be subject to criminal prosecution (including under 18 U.S.C. § 1001 and/or 1621), civil and administrative penalties, and other remedies, as may its officers, executives, members, or employees, as applicable, as well as any other associated entities, persons, or individuals, including I myself.

Signature of Authorized Organization Representative:

Title: _____

Date: _____